

# Chapter 10

## Memory Model for Program Execution

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# Problem

How do we allocate memory during the execution of a program written in C?

- Programs need memory for code (instructions) and data (global and local variables), etc.
- Modern programming practices encourage many (reusable) functions, callable from anywhere.
- Some memory can be **statically** allocated, since the size and type is known at **compile time**.
- Some memory must be allocated **dynamically**, size and type is **unknown** at **compile time**.

# Motivation

Why is memory allocation important? Why not just use a memory manager?

- Allocation affects the performance and memory usage of every C, C++, Java program.
- Current systems do not have enough registers to store everything that is required.
- Memory management is too slow and cumbersome to solve the problem.
- Static allocation of memory resources is too inflexible and inefficient, as we will see.

# Goals

- What do we care about?
  - Fast program execution
  - Efficient memory usage
  - Avoiding memory fragmentation
  - Maintaining data locality
  - Allowing recursive calls
  - Supporting parallel execution
  - Minimizing resource allocation

Memory should never be allocated for functions that are not executed!

# Function Call

- Consider the following code:

```
int main (int argc, char *argv[]){
    int a = 10;
    int b = 20;
    c = foo(a, b);
}
int foo(int x, int y){
    int z;
    z = x + y;
    return z;
}
```

- What needs to be stored?
  - Code, parameters, locals, globals, return values

# Storage Requirements

- Code must be stored in memory so that we can execute the function.
- The return address must be stored so that control can be returned to the caller.
- Parameters must be sent from the caller to the callee so that the function receives them.
- Return values must be sent from the callee to the caller, that's how results are returned.
- Local variables for the function must be stored somewhere, is one copy enough?

# Possible Solution: Register Protocol

- Function call:

```
LD R1, paramx      # read x from memory
LD R2, paramy      # read y from memory
JSR foo             # function call
ST R3, result      # write result
```

- Function implementation:

```
foo  ADD R3,R1,R2   # computation
     RET            # function return
```

# Possible Solution: Register Protocol

## ● Advantages:

- Conceptually very simple
- Registers are very fast
- Minimal memory usage (and no pointers!)

## ● Disadvantages:

- Cannot handle recursion or parallel execution
- Not always enough registers!
- Must manage registers to avoid overwriting
- Requires 'ad hoc' save and restore



# Possible Solution: Mixed Code and Data

- Function implementation:

```
foo          JMP foo_code      # skip over data
foo_rv       .BLKW 1      # return value
foo_ra       .BLKW 1      # return address
foo_paramx   .BLKW 1      # 'x' parameter
foo_paramy   .BLKW 1      # 'y' parameter
foo_localz   .BLKW 1      # 'z' local
foo_code     ST R7, foo_ra # save return
...
LD R7, foo_ra # restore return
RET
```

- Can construct data section by appending foo\_

# Possible Solution: Mixed Code and Data

- Calling sequence

```
ST R1, foo_paramx # R1 has 'x'  
ST R2, foo_paramx # R2 has 'y'  
JSR foo           # Function call  
LD R3, foo_rv     # R3 = return value
```

- Code generation is relatively simple.
- Few instructions are spent moving data.

# Possible Solution: Mixed Code and Data

## ● Advantages:

- Code and data are close together
- Conceptually easy to understand
- Minimizes register usage for variables
- Data persists through life of program

## ● Disadvantages:

- Cannot handle recursion or parallel execution
- Code is vulnerable to self-modification
- Consumes resource for inactive functions

# Possible Solution: Separate Code and Data

- Memory allocation:

```
foo_rv      .BLKW 1    # foo return value
foo_ra      .BLKW 1    # foo return address
foo_paramx  .BLKW 1    # foo 'x' parameter
foo_paramy  .BLKW 1    # foo 'y' parameter
foo_localz  .BLKW 1    # foo 'z' local
bar_rv      .BLKW 1    # bar return value
bar_ra      .BLKW 1    # bar return address
bar_paramw  .BLKW 1    # bar 'w' parameter
```

- Code for foo() and bar() are somewhere else
- Function code call is similar to mixed solution

# Possible Solution: Separate Code and Data

## ● Advantages:

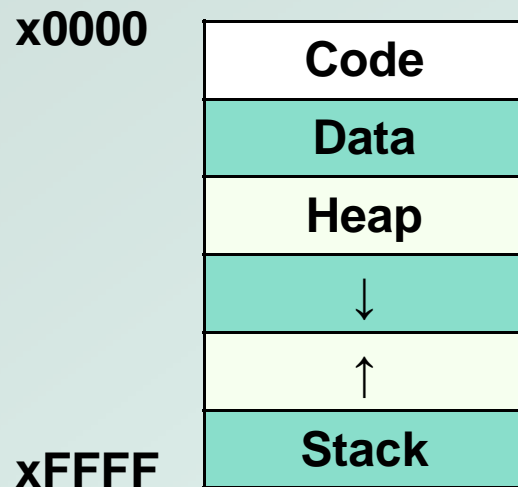
- Code can be marked 'read only'
- Conceptually easy to understand
- Early Fortran used this scheme
- Data persists through life of program

## ● Disadvantages:

- Cannot handle recursion or parallel execution
- Consumes resource for inactive functions

# Real Solution: Execution Stack

- Instructions are stored in code segment
- Global data is stored in data segment
- Statically allocated memory uses stack
- Dynamically allocated memory uses heap



- Code segment is write protected
- Initialized and uninitialized globals
- Heap can be fragmented
- Stack size is usually limited
- Stack can grow either direction (usual convention is **down**)

# Execution Stack

## ● What is a stack?

- First In, Last Out (FILO) data structure
- PUSH adds data, POP removes data
- Overflow condition: push when stack full
- Underflow condition: pop when stack empty
- Stack grows and shrinks as data is added and removed
- Grows downward (decreasing address, convention)
- Function calls allocate a stack frame
- Return cleans up by freeing the stack frame
- Supports nested (and recursive) function calls
- **Stack Trace** shows current execution (Java/Eclipse)

# Stack Trace

- Example stack trace from gdb: main() calls A() calls B() calls C() calls D().
- Breakpoint is set in function D(), note that main() is at the bottom, D() is at the top.

```
(gdb) info stack
```

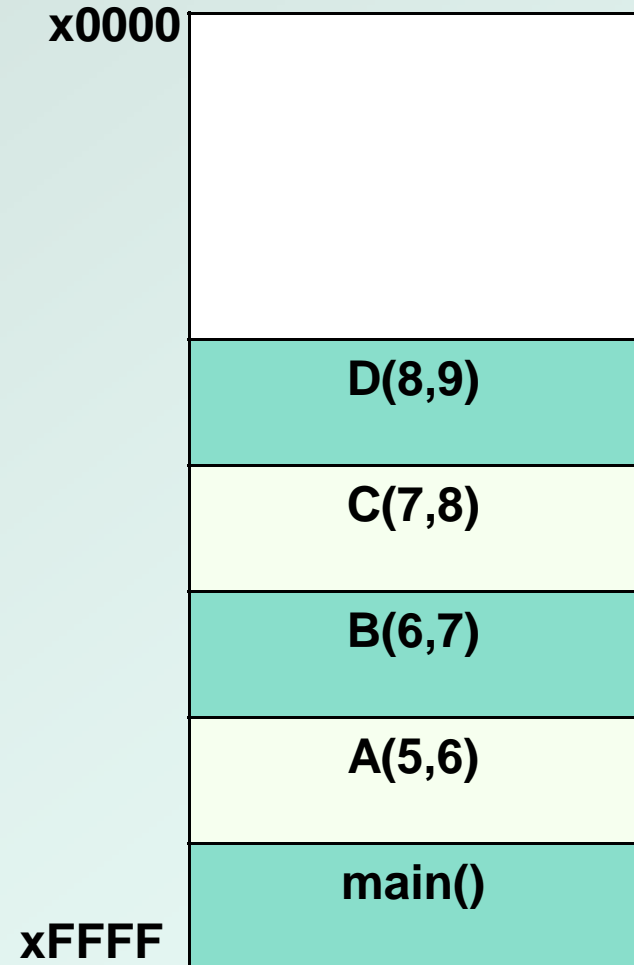
```
#0  D (a=8, b=9) at stacktest.c:23
#1  0x00400531 in C (a=7, b=8) at stacktest.c:19
#2  0x0040050c in B (a=6, b=7) at stacktest.c:15
#3  0x004004e7 in A (a=5, b=6) at stacktest.c:11
#4  0x00400566 in main () at stacktest.c:29
```



# Execution Stack

- Picture of stack during program execution, same call stack as previous slide:

- main() calls A(5,6)
- A(5,6) calls B(6,7)
- B(6,7) calls C(7,8)
- C(7,8) calls D(8,9)

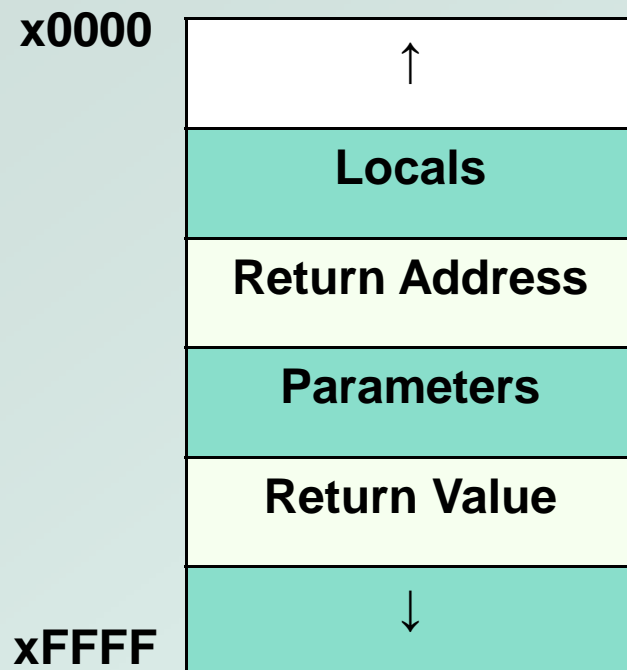


# Stack Requirements

- Consider what has to happen in a function call:
  - Caller must pass parameters to the callee.
  - Caller must transfer control to the callee.
  - Callee needs space for local variables.
  - Callee must return control to the caller.
  - Someone must allocate space for the return value.
  - Someone must save and restore return address.
  - Someone must clean up the stack.
- Parameters, return value, return address, and locals are stored on the stack.
- The order above determines the responsibility and order of stack operations.

# Execution Stack

- Definition: A stack frame or activation record is the memory required for a function call:



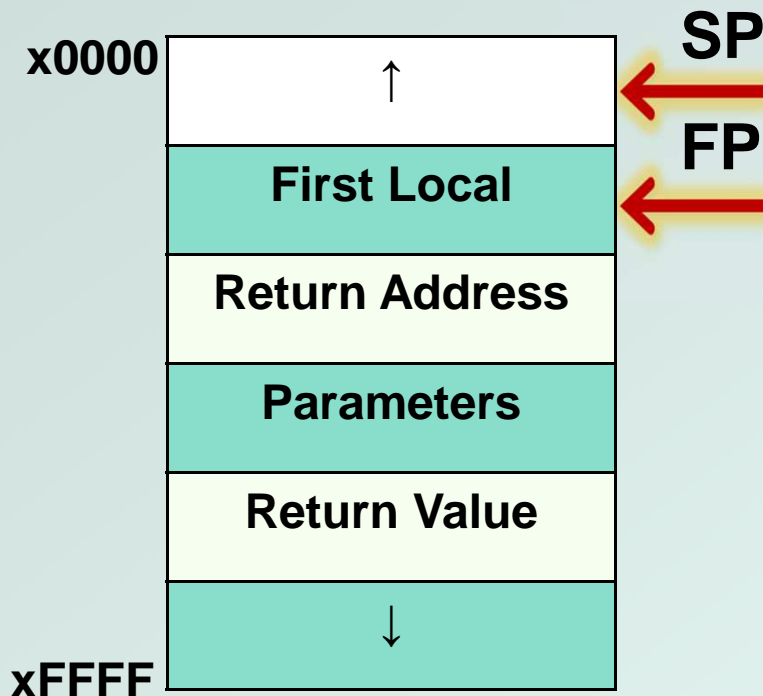
- Stack frame below contains the function that called this function.
- Stack frame above contains the functions called from this function.
- Caller allocates return value, pushes parameters and return address.
- Callee allocates and frees local variables, stores the return value.

# Stack Pointers

- Clearly we need a variable to store the **stack pointer** (SP), LC3 assembly uses R6.
- Stack execution is ubiquitous, so hardware has a stack pointer, and often specific instructions.
- Problem: stack pointer is difficult to use to access data, since it moves around constantly.
- Solution: allocate another variable called a **frame pointer** (FP), for stack frame, uses R5.
- Where should frame pointer point? Convention sets it between caller and callee data.

# Execution Stack

- Definition: A stack frame or activation record is the memory required for a function call:



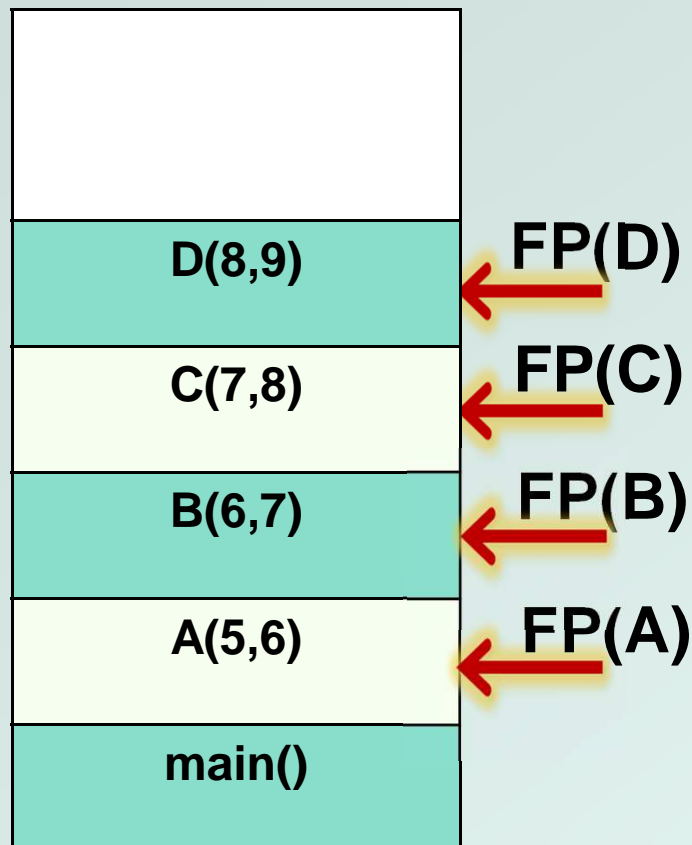
- Locals are accessed by negative offsets from frame pointer.
- Parameters and return value are accessed by positive offsets.
- Most offsets are small, this explains LDR/STR implementation.
- Base register stores pointer, signed offset accesses both directions.

# Execution Stack

- In the previous solutions, the compiler allocated parameters and locals in fixed memory locations.
- Using an execution stack means parameters and locals are constantly moving around.
- The frame pointer solves this problem by using fixed offsets instead of addresses.
- The compiler can generate code using offsets, without knowing where the stack frame will reside.
- Frame pointer needs to be saved and restored around function calls, using the stack!

# Nested Calls

- Definition: A stack frame or activation record is the memory required for a function call:



- Single stack pointer, who owns it at any given time?
- Multiple frame pointers, but only one is active in the register.
- How does a recursive call resemble a nested call?
- How is access to the stack limited to prevent corruption?

# Execution Stack

## ● Advantages:

- Code can be marked 'read only'
- Conceptually easy to understand
- Supports recursion and parallel execution
- No resources for inactive functions
- Good data locality, no fragmenting
- Minimizes register usage

## ● Disadvantages:

- More memory than static allocation



# Detailed Example

- Assume POP and PUSH code as follows:

```
MACRO PUSH(reg)
```

```
    ADD R6,R6,#-1    ; Decrement SP
```

```
    STR reg,R6,#0    ; Store value
```

```
END
```

```
MACRO POP(reg)
```

```
    LDR reg,R6,#0    ; Load value
```

```
    ADD R6,R6,#1    ; Increment SP
```

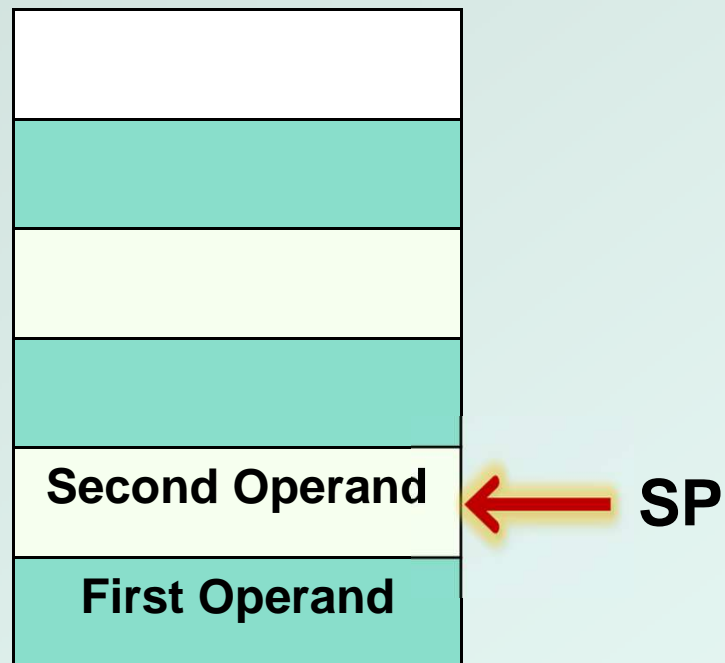
```
END
```

# Detailed Example

- Main program to illustrate stack convention:

```
.ORIG x3000
MAIN    LD R6,STACK      ; init stack pointer
        LD R0,OPERAND0  ; load first operand
        PUSH R0         ; PUSH first operand
        LD R1,OPERAND1  ; load second operand
        PUSH R1         ; PUSH second operand
        JSR FUNCTION    ; call function
        POP R0          ; POP return value
        ADD R6,R6,#2    ; cleanup stack
        ST R0,RESULT    ; store result
        HALT
```

# Detailed Example



**Stack before JSR instruction**

# Detailed Example

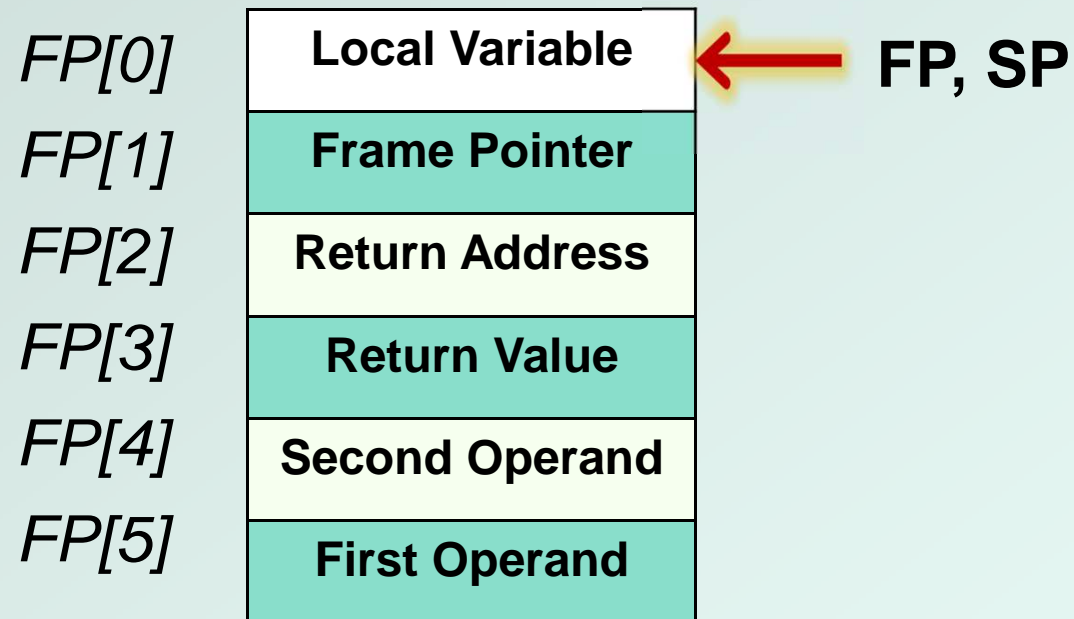
- Function code to illustrate stack convention:

## FUNCTION

```
ADD R6,R6,#-1    ; alloc return value
PUSH R7          ; PUSH return address
PUSH R5          ; PUSH frame pointer
ADD R5,R6,#-1    ; FP = SP-1

ADD R6,R6,#-1    ; alloc local variable
LDR R2,R5,#5     ; load first operand
LDR R3,R5,#4     ; load second operand
ADD R4,R3,R2     ; add operands
STR R4,R5,#0     ; store local variable
```

# Detailed Example



**Stack before STR instruction**

# Detailed Example

- Function code to illustrate stack convention:

```
FUNCTION ; stack exit code
    STR R4,R5,#3    ; store return value
    ADD R6,R5,#1    ; SP = FP+1
    POP R5          ; POP frame pointer
    POP R7          ; POP return address
    RET             ; return

OPERAND0 .FILL x1234 ; first operand
OPERAND1 .FILL x2345 ; second operand
RESULT  .BLKW 1     ; result
STACK   .FILL x4000 ; stack address
```

# Stack Execution

- Summary of memory model:
  - We have discussed the stack model for execution of C and LC3 programs, and we have shown how a compiler might generate code for function calls.
- Future homework assignment:
  - Look at assembly code emitted by the compiler and figure out the stack convention.
- Future programming assignment:
  - Write a recursive function in C, then implement the same function in assembly code, managing memory using the stack model.